

66199 U.S. PTO



05/07/97

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Attorney Docket No. UMD 1.0-037CIP

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67477 U.S. PTO
08/852666
05/07/97

EXPRESS MAIL

PATENT
7 May 1997

Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Re: **NEW APPLICATION TRANSMITTAL**

Dear Sir

Enclosed please find the patent application of:

Inventor(s): Kirin K. ^{ET AL} Chada, Hena Ashar, Alex Tkachenko, and Xianjin Zhou

For (Title): **HMGI PROTEINS IN CANCER AND OBESITY**

This new application is for a(n)

☐ Original.

☐ Design.

☐ Divisional.

☐ Continuation.

☒ Continuation-in-part (CIP).

CERTIFICATION UNDER 37 C.F.R. SECTION 1.10

"Express Mail" mailing label number EI360030087US

Date of Deposit 7 May 1997

I hereby certify that this New Application Transmittal, and the documents referred to as enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 C.F.R. Section 1.10 on the date set out above and is addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Richard R. Muccino

(typed name of person mailing papers)

Richard R. Muccino

(signature of person mailing papers)

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the specification of which:

☒ X is attached hereto.

 was filed on as Application Serial No.

 and was amended on . (if applicable)

 was described and claimed in

International Appln. No. ,

filed on ,

and was amended on .

2. **BENEFIT OF PRIOR U.S. APPLICATION(S)**
(35 U.S.C. SECTION 120)

 The new application being transmitted is a

 continuation
☒ X continuation-in-part
 divisional

of copending United States application(s)

 X serial no. 08/679,529, filed 12 July 1996.

and claims the benefit of the earlier filing date of the prior U.S. application(s) pursuant to 35 U.S.C. Section 120.

 Please abandon the prior U.S. application(s) referred to above at a time while the prior application is pending or when the petition for extension of time in that application is granted and when this application is granted a filing date so as to make this application copending with the prior application.

 X Please do not abandon the prior U.S. application(s) referred to above at this time.

3. **ENCLOSED ARE THE FOLLOWING DOCUMENTS REQUIRED**
FOR FILING DATE UNDER 37 C.F.R. SECTION 1.53(b) (REGULAR) OR
37 C.F.R. SECTION 1.153 (DESIGN) APPLICATION

 63 Pages of Specification.
 5 Page(s) of Claims.
 1 Page(s) of Abstract.
 14 Sheet(s) of Drawings, which are
 formal X informal.

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4. **ADDITIONAL PAPERS ENCLOSED**

_____ A preliminary amendment under 37 C.F.R. Section 1.111 is attached.

_____ Please amend the specification by inserting before the first line the sentence:

This application is a _____ continuation
_____ continuation-in-part
_____ divisional
of copending U.S. application serial no. .

_____ Prior to calculating the filing fee, please amend the claims by deleting claims _____.

- _____ Information Disclosure Statement.
- _____ Form PTO-1449.
- _____ References.
- _____ Declaration of Biological Deposit.
- _____ Special Comments.
- _____ Assignment.
- _____ Small Entity Statement.
- ☒ Express Mail Certification (part of this transmittal letter).
- ☒ Two (2) stamped return-addressed postcards.
- ☒ Check in the amount of \$ 725.00 calculated as set forth below.
- _____ Other.

5. **DECLARATION AND POWER OF ATTORNEY**

☒ Enclosed.

Executed by

_____ Inventor(s).
_____ Legal Representatives of inventor(s). 37 C.F.R. 1.42 or 1.43.
_____ Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
_____ this is the petition required by 37 C.F.R. Section 1.47 and the statement required by 37 C.F.R. Section 1.47 is also attached.

☒ Unexecuted.

_____ This application is made by a person authorized under 37 C.F.R. Section 1.41(c) on behalf of all of the above-named inventors. The declaration or oath and Power of Attorney, along with the surcharge required by 37 C.F.R. Section 1.16(e) can be filed subsequently.

_____ Showing that the filing is authorized.

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6. **INVENTORSHIP STATEMENT**

The inventorship for all the claims in this application are:

☒ The same.
☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made, _____ is submitted.
_____ will be submitted.

7. **Language**

☒ English.
☐ Non-English.
_____ The attached translation is a verified translation.
37 C.F.R. Section 1.52(d).

8. **ASSIGNMENT**

☒ An assignment of the invention to:

University of Medicine & Dentistry of New Jersey, a corporation of the State of New Jersey having a place of business at 110 Administration Complex, 30 Bergen Street, University Heights, Newark, New Jersey 07107-3000.

_____ Is enclosed (stapled to a copy of this letter).
☒ Will follow.

9. **CERTIFIED COPY**

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed under 35 U.S.C. 119

_____ is(are) attached.
_____ will follow.

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10. **FILING FEE CALCULATION**

A. X REGULAR APPLICATION.

Claims as filed				
	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$ 770.00
Total Claims	40	- 20 = <u> 20 </u>	x \$22.00 =	\$ 440.00
Independent Claims	6	- 3 = <u> 3 </u>	x \$80.00 =	\$ 240.00
Multiple dependent claim(s), if any				
\$230.00				\$ --0---
TOTAL FEE				\$ 1450.00

- Amendment cancelling extra claims is enclosed.
 Amendment deleting multiple dependencies is enclosed.
 Fee for extra claims is not being paid at this time.

B. Design Application.
 (\$140.00 - 37 C.F.R. Section 1.16(f))

11. **SMALL ENTITY STATEMENT(S)**

 X Verified Statement(s) that this is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27 is(are) attached.

- is(are) attached.
 X will follow.

Filing Fee Calculation
 (50% of A or B above).

\$ 725.00

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12. **FEE PAYMENT BEING MADE AT THIS TIME**

☐ No filing fee is enclosed.

☒ The filing fee is enclosed.

☒ Basic filing fee. \$ 725.00

☐ Recording Assignment.
(\$40.00; 37 C.F.R. Section 1.21(h)(1)) \$ _____

☐ Petition fee for filing by other
than all the inventors or person
on behalf of the inventor where
inventor refused or cannot be
reached. (\$140.00;
37 C.F.R. Sections 1.47 and 1.17(h)). \$ _____

☐ For Processing an application with a
specification in non-English language.
((\$26.00; 37 C.F.R. Sections 1.52(d)
and 1.17(k)). \$ _____

☐ Processing and retention fee.
(\$100.00; 37 C.F.R. Sections 1.53(d)
and 1.21(l)). \$ _____

TOTAL FEES ENCLOSED \$ 725.00

13. **METHOD OF PAYMENT OF FEES**

☒ Check in the amount of \$ 725.00 is enclosed.

☐ Charge Deposit Account 13-4822 in the amount of \$ -----.

14. **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

☒ The Commissioner is hereby authorized to charge all fees required
during the entire pendency of this application except the issue fee to Deposit
Account 13-4822.

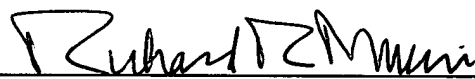
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15. INSTRUCTIONS REGARDING OVERPAYMENT

 X Credit any overpayment to Deposit Account 13-4822.

 Refund.

(A duplicate of this transmittal letter is attached.)



RICHARD R. MUCCINO
Attorney For Applicant(s)
Registration Number 32,538

Please forward correspondence to:

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Enclosures

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